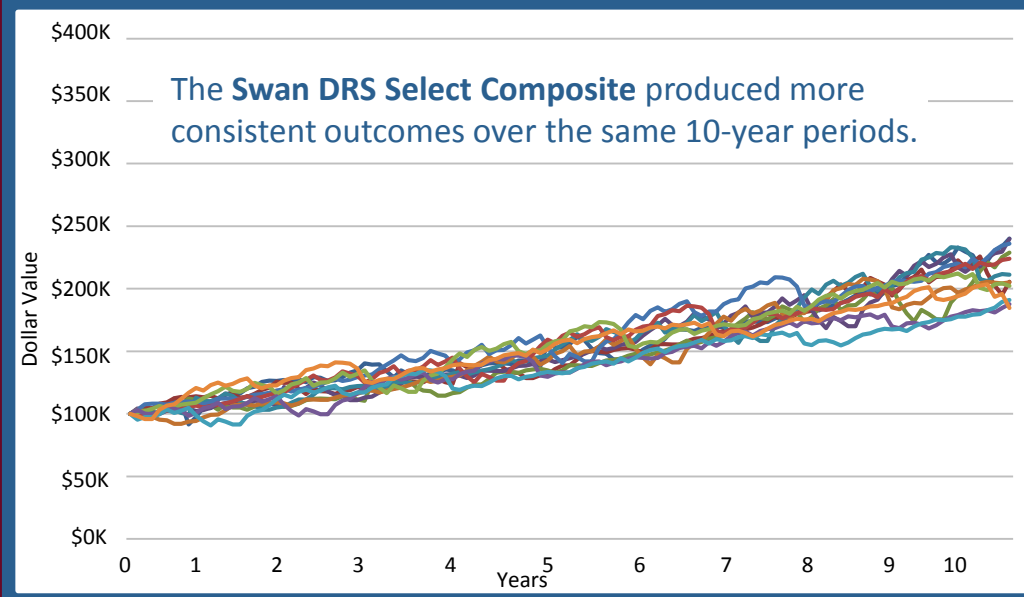
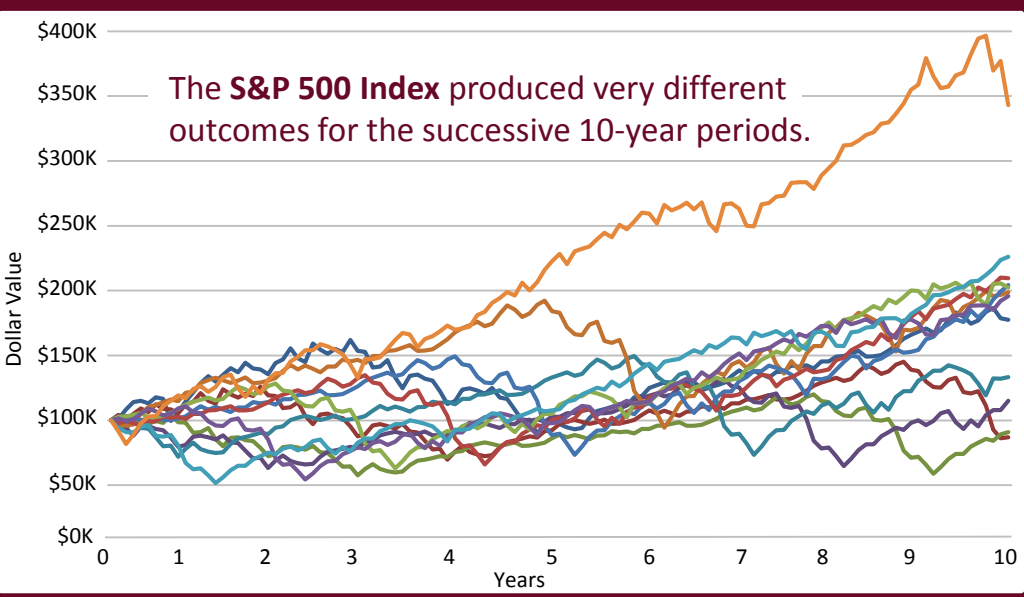


Consistent Outcomes

Most financial plans assume a consistent return. As you can see below, over time the S&P 500 Index has proven to be far from consistent. By minimizing negative surprises the Swan Defined Risk Strategy (DRS) has produced a smoother ride and more consistent outcomes.

The graphs show twelve, 10-year investment periods. The first period is 1/1998 to 12/2007; the last period is 1/2009 to 12/2018.*



Investment Results after 10-years

	S&P 500 Index	Swan DRS
— January 1998 – December 2007	\$177,565	\$240,110
— January 1999 – December 2008	\$87,006	\$205,562
— January 2000 – December 2009	\$90,902	\$228,900
— January 2001 – December 2010	\$115,072	\$239,823
— January 2002 – December 2011	\$133,351	\$211,150
— January 2003 – December 2012	\$198,578	\$205,106
— January 2004 – December 2013	\$204,293	\$236,040
— January 2005 – December 2014	\$209,464	\$223,932
— January 2006 – December 2015	\$202,420	\$202,273
— January 2007 – December 2016	\$195,717	\$187,644
— January 2008 – December 2017	\$226,028	\$191,123
— January 2009 – December 2018	\$343,033	\$184,632

Pursuing Peace of Mind – Fewer surprises may lead to consistent returns and desirable outcomes.

The Swan Defined Risk Strategy (DRS) is designed to seek consistent returns, with a proven performance record since 1997.

Consider making the Swan DRS a core component of your financial plan.

* Source: Zephyr StyleADVISOR and Swan Global Investments. The S&P 500 Index is an unmanaged index, and cannot be invested into directly. Swan DRS returns are from the Select Composite, net of all fees. NOTE – this chart is for illustration purposes, not a guarantee of future performance. The charts and graphs contained herein should not serve as the sole determining factor for making investment decisions.



How long will you be retired?

Most people plan to be retired for at least 10 years and need their money to last.

This table shows how the DRS provided consistent returns across successive 10-year periods. Each period, except the last, includes at least one bull market and one bear market.

These figures assume no portfolio withdrawals.

Investment Period	Annualized Return		Value of \$100,000 Invested	
	S&P 500	Swan DRS	S&P 500	Swan DRS
Jan 98 - Dec 07	5.91%	9.15%	\$ 177,565	\$ 240,110
Jan 99 - Dec 08	-1.38%	7.47%	\$ 87,006	\$ 205,562
Jan 00 - Dec 09	-0.95%	8.63%	\$ 90,902	\$ 228,900
Jan 01 - Dec 10	1.41%	9.14%	\$ 115,072	\$ 239,823
Jan 02 - Dec 11	2.92%	7.76%	\$ 133,351	\$ 211,150
Jan 03 - Dec 12	7.10%	7.45%	\$ 198,578	\$ 205,106
Jan 04 - Dec 13	7.41%	8.97%	\$ 204,293	\$ 236,040
Jan 05 - Dec 14	7.67%	8.40%	\$ 209,464	\$ 223,932
Jan 06 - Dec 15	7.31%	7.30%	\$ 202,420	\$ 202,273
Jan 07 - Dec 16	6.95%	6.50%	\$ 195,717	\$ 187,644
Jan 08 - Dec 17	8.50%	6.69%	\$ 226,028	\$ 191,123
Jan 09 - Dec 18	13.12%	6.32%	\$ 343,033	\$ 184,632

* Source: Zephyr StyleADVISOR and Swan Global Investments. All data based on historical performance of the S&P Total Return Index and the Swan DRS Select Composite. Prior performance is not a guarantee of future results.

What's your desired outcome? What's your plan to get there?

Achieving desired investment outcomes requires a long-term plan that enables you to remain invested and to seek consistent returns over time.

The Swan Defined Risk Strategy is designed with the goal of producing consistent returns and achieving desirable outcomes.

For more information, consult your advisor or visit swanglobalinvestments.com

Disclosures: Swan Global Investments, LLC is a SEC registered Investment Advisor that specializes in managing money using the proprietary Defined Risk Strategy ("DRS"). SEC registration does not denote any special training or qualification conferred by the SEC. Swan offers and manages the DRS for investors including individuals, institutions and other investment advisor firms. All Swan products utilize the Defined Risk Strategy ("DRS"), but may vary by asset class, regulatory offering type, etc. Accordingly, all Swan DRS product offerings will have different performance results, and comparing results among the Swan products and composites may be of limited use. Any historical numbers, awards and recognitions presented are based on the performance of a (GIPS®) composite, Swan's DRS Select Composite, which includes non-qualified discretionary accounts invested in since inception, July 1997, and are net of fees and expenses. Swan claims compliance with the Global Investment Performance Standards (GIPS®). All data used herein; including the statistical information, verification and performance reports are available upon request. The S&P 500 Index is a market cap weighted index of 500 widely held stocks often used as a proxy for the overall U.S. equity market. Indexes are unmanaged and have no fees or expenses. An investment cannot be made directly in an index. Swan's investments may consist of securities which vary significantly from those in the benchmark indexes listed above and performance calculation methods may not be entirely comparable. Accordingly, comparing results shown to those of such indexes may be of limited use. The adviser's dependence on its DRS process and judgments about the attractiveness, value and potential appreciation of particular ETFs and options in which the adviser invests or writes may prove to be incorrect and may not produce the desired results. There is no guarantee any investment or the DRS will meet its objectives. All investments involve the risk of potential investment losses as well as the potential for investment gains. Prior performance is not a guarantee of future results and there can be no assurance, and investors should not assume, that future performance will be comparable to past performance. 027-SGI-012319 OUT-PRESO-19